



Receipt date: 08/14/2006

10551831 - **GAU-1796**

PTO/SB/21 (04-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/551,831
Filing Date	Oct. 3, 2005
First Named Inventor	Jun IZUMI
Art Unit	1711
Examiner Name	Olga Asinovsky
Attorney Docket Number	1217-052758

Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)

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| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter |
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| <input type="checkbox"/> Response to Missing Parts
under 37 CFR 1.52 or 1.53 | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Kent E. Baldauf The Webb Law Firm
Signature	
Date	August 8, 2006

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Diane Paull		
Signature		Date	08-08-2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /O.A./

Appl. No. 10/551,831
IDS dated August 8, 2006
Attorney Docket No. 1217-052758



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/551,831 Confirmation No. 3943
Applicants : Jun IZUMI et al.
Filed : October 3, 2005
Title : Modified Cycloolefin Copolymer, Process for Producing
the Same, and Use of the Polymer
Art Unit : 1711
Examiner : Olga Asinovsky
Customer No. : 28289

INFORMATION DISCLOSURE STATEMENT
TRANSMITTED BEFORE MAILING OF FIRST OFFICE ACTION

MAIL STOP AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby submit this Information Disclosure Statement together with a completed Form PTO/SB/08a.

Reference JP 5-255566 is cited on page 3 of the instant application and is equivalent to Canadian Patent No. 2,077,411. References JP 3-95286, JP 2000-298350, and JP 6-211937 are cited on pages 3 and 4 of the instant specification. JP 2000-298350 corresponds to U.S. Patent Nos. 6,562,554 and 6,124,074. JP 6-211937 corresponds to U.S. Patent No. 5,439,722. Copies of the foreign references are enclosed herewith.

This application is the United States national phase of PCT Application No. PCT/JP03/16588. The International Search Report dated April 20, 2004, for the underlying PCT application identified the remaining three foreign references listed on Form PTO/SB/08a. Reference WO 98-18837 corresponds to U.S. Patent Application Publication No. 2002-0103303. Copies of the three references cited in the International Search Report are submitted herewith. The degree of relevance for the non-English language references listed on Form PTO/SB/08a is indicated in the International Search Report. A copy of the International Search Report is enclosed for the Examiner's convenience.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on August 8, 2006.

Diane Pauli
(Name of Person Mailing Document)

Diane Pauli
Signature

08/08/2006
Date

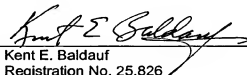
Appl. No. 10/551,831
IDS dated August 8, 2006
Attorney Docket No. 1217-052758

No fee is believed to be due for the filing of this Information Disclosure Statement as it is being submitted before a first Office Action on the merits. Nevertheless, the Commissioner for Patents is hereby authorized to charge any additional fees which may be required to Deposit Account No. 23-0650. This Information Disclosure Statement is submitted in duplicate.

Respectfully submitted,

THE WEBB LAW FIRM

By



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PTO/SB/08a (07-05)

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/551,831
Filing Date	Oct. 3, 2005
First Named Inventor	Jun IZUMI
Art Unit	1711
Examiner Name	Olga Asinovsky
Attorney Docket Number	1217-052758

Sheet	1	of	1
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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)				
		US-	2002/0103303A1	08-01-2002	Kodemura	
		US-	5,439,722 B1	08-08-1995	Brekner et al.	
		US-	6,124,074 B1	09-26-2000	Varanasi et al.	
		US-	6,562,554 B1	05-13-2003	Varanasi et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	1*
		Country Code ²	Number ³ Kind Code ⁴ (if known)				
		JP(1993)	05-255588 A	10-05-1993	Hoechst AG	Equiv. to Canadian patent	
		CA	2,077,411 A1	03-04-1993	Hoechst AG		
		JP(1991)	03-095286 A	04-19-1991	Mitsui Petrochem Ind	English Abstract attached	
		JP(2000)	2000-298350 A	10-24-2000	IBM	Equiv. US '554 and '074	
		JP(1994)	6-211937 A	08-02-1994	Hoechst AG	Equiv. US patent '722	
		WO	98/18837 A1	05-07-1998	Nippon Zeon Co et al.	Equiv. US app '303	
		JP(1994)	6-172423 A	06-21-1994	Idemitsu Kosan Co.	English Abstract attached	
		JP(1998)	10-007736 A	01-13-1998	Mitsui Petrochem Ind	English Abstract attached	

Examiner Signature	/Olga Asinovsky/	Date Considered	07/27/2008
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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ² Applicant's unique citation designation number (optional). ³ See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document. ⁷ Kind of document. ⁸ Applicant is to place a check mark here if English language Translation is attached.

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